

1/PRTS

09/889518  
JCT8 Rec'd PCT/PTO 1 8 JUL 2001  
PCT/DE00/00005

WO 00/44111

*a* Description

Method for obtaining information about interference in the receiver of a message transmission system

*INS 5*  
*AI*  
*SUB A2*  
The invention relates to a method and a device for wireless data transmission comprising one or more transmitters and at least one receiver, where information about interference in a message transmission system is obtained in the receiver.

*SUB A3*  
*10*  
*INS 5*  
*AI*  
In message or data transmission, it is desirable to obtain as uncorrupted a transmission of the user signals as possible, to suppress interference, which exists at the same time and in the same frequency band in addition to the wanted signal, and thermal noise, respectively, as well as possible in the receivers. To be able to selectively take measures against interference, it is required to know as much as possible about the characteristics of the interference.

*SUB A3*  
*15*  
*20*  
Apart from the intensity of the interference, such characteristics are, for example, also its spectrum, its correlation properties and the directions of incidence of the interfering signals at the receiver.

*25*  
In many cases such as, for example, in permanently installed radio transmission links, potential interfering influences by other permanently installed transmitters which do not emit any user signals from the point of view of the transmission link under consideration, are known a priori. According to the prior art, such interfering influences can be suppressed by simple measures such as directional transmission and reception; a procedure normally used in microwave radio. In many cases, especially in the multi-subscriber systems of mobile communication, such information on the properties of the interference is not known a priori. Accordingly, countermeasures adapted to the interference cannot be easily taken. Assuming interference-limited multi-subscriber systems

in which, therefore, the interference is essentially  
caused by other users of

09889510.062704  
T0/290" 0T568860

one's own system, the time correlation of the interfering signals is equal to the time correlation of the wanted signals and is thus known as long as interfering signals which are incident from different directions are uncorrelated. Knowledge of the time correlation of the interfering signals can be utilized in the receiver for improving the transmission quality by decorrelating the interference.

TD-CDMA [1], as an example for third-generation mobile radio systems, uses the hybrid FDMA/TDMA/CDMA (frequency/time/code division multiple access) method. The time correlation of the interfering signals can be taken into consideration in the data detection. An example in which no information about the correlation properties of the interference are utilized is the WCDMA (wideband CDMA) [2, 3] air interface concept which is also proposed for third-generation mobile radio systems and which is based on the hybrid FDMA/CDMA multiple access method.

The disadvantageous factor in the transmission methods corresponding to the prior art is that they do not obtain information on the received interference, or only to a very limited extent, and thus do not use such information to a desirable degree for improving the transmission quality. For example, no directional information at all is obtained with respect to the interference. If multiple-antenna receivers are used, directional patterns could be generated, for example when using array antennas, which selectively have less gain for those directions from which strong interfering signals arrive at the receiver so that the ratio between useful power and interference power at the receiver end is maximized. However, this would require knowledge of the directions of interference which cannot be obtained in the systems according to the prior art.

The considerations described above of the time correlations of the interference, assumed to be known a priori, for example in the case of TD-CDMA, too, are not about obtaining information about the interference.

- 5 Using a priori knowledge about the interference is questionable, especially in mobile communication, since the instantaneous characteristics of the interference can greatly deviate from those assumed a priori due to the permanent changing in time of the spatial  
10 constellation of the mobile stations which, as a rule, is not predictable.

- The prerequisite of uncorrelated interference signals arriving at the receiver from different directions, which has been addressed above, is also not  
15 generally met. If the signal of an interference source propagates toward the receiver along a number of paths with different delay and/or if the interfering signals coming from one interference source have different directions of incidence at the location of the  
20 receiver, the aggregate interference signal produced by superposition of the interference signals at the receiving location have different time correlations than the individual interference signals and thus also different time correlations than those of the user  
25 signal which have been assumed a priori.

- INS a 7*  
*INS A8*  
The problem of procuring information on the characteristics is solved by the method according to the invention in the manner shown in claim 1, where Ka receiving antennas are assumed. In this method,  
30 information on the user signal is first obtained from the received signals of the antennas in a first step. From the total received signals which contain both the user signal(s) and the interference signal(s), and the information, obtained in the first step, about the user  
35 signal(s), information about the interference signal(s) can then be obtained in a second step.
- Sub a9*  
*#*

Sub  
a9  
4

According to the embodiment of the method according to the invention as claimed in subclaims 2, 4 and 5, the information about the

00000540.002704

estimated in accordance with the embodiments of the method according to the invention according to subclaims 2, 4 and 5, can be represented as vectors

09080510.002701

interference signals is obtained, for example, by an approximate reconstruction of the received user signals and by subsequent subtraction of the reconstructed user signals from the total of the received signals. This embodiment thus provides an estimate of the time functions  $\hat{n}^{(ka)}(t)$ ,  $ka=1..Ka$  of the interference at the  $Ka$  receiving antennas.

Further advantageous embodiments of the method according to the invention are described in subclaims 8, 9 and 10. Using the estimates  $\hat{n}^{(ka)}(t)$  determined as above, the estimates

$$\hat{R}_n^{(l,m)}(\tau) = E\{\hat{n}^{(l)}(t) \cdot (t+\tau)\} \quad l, m = 1..Ka \quad (1)$$

of the temporal covariance functions of the interference signals effective at the antennas can be obtained. In addition, the normalized spatial covariance matrix

$$\hat{R}_s = \frac{1}{\sigma^2} \begin{pmatrix} E\{\hat{n}^{(1)}(t) \cdot \hat{n}^{(1)*}(t)\} & E\{\hat{n}^{(1)}(t) \cdot \hat{n}^{(Ka)*}(t)\} \\ E\{\hat{n}^{(2)}(t) \cdot \hat{n}^{(1)*}(t)\} & E\{\hat{n}^{(2)}(t) \cdot \hat{n}^{(Ka)*}(t)\} \\ E\{\hat{n}^{(Ka)}(t) \cdot \hat{n}^{(1)*}(t)\} & E\{\hat{n}^{(Ka)}(t) \cdot \hat{n}^{(Ka)*}(t)\} \end{pmatrix} \quad (2)$$

of dimension  $Ka \times Ka$  can be determined for the  $Ka$  receiving antennas, taking into consideration the interference power  $\sigma^2$ , which can also be determined from the estimated interference signals. In the case of both the data transmission and digital signal processing at the receiving end, discrete-time samples are available as signals which can be subdivided into finite blocks due to their burst structure. If the subscriber signals are detected burst by burst, it is sufficient to determine information about the interference burst by burst. Accordingly, the interference signals at the individual antennas,

$$\underline{\hat{n}}^{(ka)} = (\hat{n}_1, \hat{n}_2, \dots, \hat{n}_{WB})^T, ka = 1..Ka \quad (3)$$

where  $\hat{n}_i$ ,  $i=1..WB$ , are the WB samples of the interference signal over one burst, since these interference signals are time-discrete and limited in time. The embodiment of the method according to the invention according to subclaims 8, 9 and 10 thus leads to finite, discrete-time covariance functions.

Instead of forming the expected value when determining the covariance functions, which requires infinite averaging over the estimated samples of the interference, the temporal averaging must be finite in real systems. It is performed over a previously defined number Z of bursts. In the case of a mobile radio system, Z depends on the rate of change of the constellation of mobile stations. If the constellation of mobile stations changes greatly from burst to burst, Z must be selected to be equal to one. If not, Z can be greater than 1. If the Z vectors according to (3) at the Ka antennas according to

$$\hat{N}_t^{(ka)} = (\hat{n}_1^{Ka}, \hat{n}_2^{Ka}, \dots, \hat{n}_Z^{Ka})^T, ka = 1..Ka \quad (4)$$

are ordered into in each case WB x Z matrices, estimates

$$\hat{R}_n^{(l,m)} = \frac{1}{Z} \cdot \hat{N}_t^{(l)} \cdot \hat{N}_t^{(m)*T}, \quad l, m = 1..Ka \quad (5)$$

of the temporal covariance matrices can be formed in derivation of (1). The following then holds for the estimate of the total covariance matrices:

$$\hat{R}_n = \begin{pmatrix} \hat{R}_n^{(1,1)} & \hat{R}_n^{(1,2)} & \dots & \hat{R}_n^{(1,Ka)} \\ \hat{R}_n^{(2,1)} & \hat{R}_n^{(2,2)} & \dots & \hat{R}_n^{(2,Ka)} \\ \vdots & \vdots & \ddots & \vdots \\ \hat{R}_n^{(Ka,1)} & \hat{R}_n^{(Ka,2)} & \dots & \hat{R}_n^{(Ka,Ka)} \end{pmatrix} \quad (6)$$

Sub  
aio  
&  
5

The actual interference vectors  $\underline{n}^{(ka)}(t)$ ,  
 $ka=1..Ka$ , at the  $Ka$  antennas can be correlated with the  
 estimated interference vectors  $\hat{\underline{n}}^{(ka)}(t)$ ,  $ka=1..Ka$ ,  
 according to (3) and combined in a total interference  
 5 vector

$$\underline{n}^{(ka)} = \left( \underline{n}^{(1)T}, \underline{n}^{(2)T}, \dots, \underline{n}^{(Ka)T} \right)^T \quad (7)$$

The actual total covariance matrix of the interference  
 10 is as obtained as

$$\underline{R}_n = E \{ \underline{nn}^{*T} \} \quad (8)$$

Assuming uncorrelated interference signals  
 15 arriving at the receiving site from various directions,  
 the actual total covariance matrix  $\underline{R}_n$  according to (8)  
 can be split into a spatial covariance matrix  $\underline{R}_s$  and a  
 temporal covariance matrix  $\underline{R}_t$  which is equal for all  
 received signals at the  $Ka$  receiving antennas, so that  
 20 the following holds true:

$$\underline{R}_n = \underline{R}_s \otimes \underline{R}_t. \quad (9)$$

If it is only intended to obtain an estimate  $\hat{\underline{R}}_s$ ,  
 25 of the spatial covariance matrix, the  $Ka \times Z$  WB matrix  
 is used as a basis

$$\hat{\underline{N}}_s = \begin{pmatrix} \hat{\underline{n}}_1^{(1)T} & \hat{\underline{n}}_2^{(1)T} & \dots & \hat{\underline{n}}_Z^{(1)T} \\ \dots & \dots & \dots & \dots \\ \hat{\underline{n}}_1^{(Ka)T} & \hat{\underline{n}}_2^{(Ka)T} & \dots & \hat{\underline{n}}_Z^{(Ka)T} \end{pmatrix} \quad (10)$$

30 and the required estimate  $\hat{\underline{R}}_s$  is determined according to

$$\hat{\underline{R}}_s = \frac{1}{Z \cdot WB} \cdot \hat{\underline{N}}_s \cdot \hat{\underline{N}}_s^{*T} \quad (11)$$



further advantage lies in the possibility of obtaining information both on the spatial correlation characteristics of the interference and on the temporal correlation characteristics of the interference.

5 This information can be used either directly to suppress interference when estimating the user signals from the received signals, or information about the directions of incidence of the interference at the receiver can be obtained from the information about the spatial correlation characteristics of the interference, depending on the signal processing algorithm. In the case of multi-antenna receivers, the information about the directions of incidence of the interference at the receiver or, respectively, about 10 the spatial correlation characteristics of the interference can be used for generating directional patterns which selectively have less gain in those directions from which strong interference signals arrive at the receiver so that the ratio between useful power and interference power at the receiver end is maximized. 15 20

The previous considerations relate to the receiver end. In duplex systems, each receiver is paired with a transmitter. If multi-antenna systems are used for receiving and transmitting, the information about the received interference, obtained in accordance with the method explained above, can be used for advantageously driving the antennas in the transmitting case. The basic idea of this is that sending one's own signals into the directions from which strong interference signals are incident tends to produce strong interference in other receivers. When a number of antennas is used, therefore, the knowledge of the main directions of interference at the receiver end can be generally used, independently of the transmission system considered, to radiate as little power of the transmitted signal as possible in the directions of the 25 30 35

An important advantage, which can be achieved with the method according to the invention, lies in

05065518 082701

As an exemplary embodiment, one possible implementation of the method according to the invention for obtaining information with respect to the interference is presented with reference to the

5 discrete-time model

0900515.002704

of the uplink of a TD-CDMA mobile radio system in the text which follows. Moreover, it is shown here how the information obtained can be used for improving the quality of transmission. Use in other transmission systems is also included in the scope of the invention.

The corresponding receiving system is shown in figure 1. It is assumed that K mobile subscribers are simultaneously transmitting in the same frequency band and time slot and the subscriber signals are separated by subscriber-specific CDMA codes.

The transmitted bursts consist of two data blocks and a midamble arranged between them which provides for the channel estimate at the receiver end. In the text which follows, only the first data block of a burst will be considered in the description of the data detection. A corresponding observation would apply to the second data block. According to [4], a system matrix A can be set up which includes both the K \* Ka channel impulse responses of the K subscribers to the Ka receiving antennas and the type of signal generation at the transmitter end. Together with the total data vector d, which contains the data blocks of the K subscribers, and a total interference vector n, the total received-signal vector e

$$\underline{e} = \underline{A}\underline{d} + \underline{n} \quad (12)$$

is obtained. e contains all samples of the received signals at all Ka antennas which are based on the first data block of a transmitted burst. Firstly, a channel estimator 1 forms a channel estimate in a first step and a common detector 2 performs joint detection of the subscriber signals [4] by means of the generally disturbed received signals e. In TD-CDMA systems, algorithms which can include the knowledge of the entire covariance matrix according to (8) are used for the joint data estimate of all subscribers.

main interference source and thus to reduce interference seen throughout the system.

09089518.002704

One example of such algorithms is the zero-forcing algorithm. In one- or multi-antenna receivers in systems according to the prior art, it is assumed that the temporal covariance matrix  $\underline{R}_t$  can be determined directly from the spectral shape of the transmitted signals. In the text which follows, this covariance matrix is designated by  $\underline{R}_t$ . This matrix  $\underline{R}_t$  is taken into consideration in the data detection even though the actual temporal correlations of the interference signals at the receiving site may deviate from the assumed temporal correlations due to multipath propagation of the interference from an interference source.

In the case of multi-antenna receivers in systems according to the prior art, the spatial correlations of the interference are not taken into consideration in the detection of the data and/or in the channel estimate, i.e. the covariance matrix  $\underline{R}_s$  is replaced by the  $K_a \times K_a$  unity matrix  $I^{(K_a)}$ . Thus there is no optimum data detection in the sense of the zero-forcing algorithm in systems according to the prior art. The method according to the invention can be used for improving the data estimate and the channel estimate by prior estimating of the covariance matrix  $\underline{R}_n$  of the interference due to the estimating of the received interference at each antenna, see figure 1.

To estimate the interference, a conventional data detection is first performed for a more or less large number of received bursts, using the matrix

$$\underline{R}_n = I^{(K_a)} \otimes \tilde{\underline{R}}, \quad (13)$$

for the covariance matrix  $\underline{R}_n$  according to (8), using the matrix  $\tilde{\underline{R}}$ . This provides an estimate

$$\hat{\underline{d}} = \left( \hat{\underline{A}}^* \underline{R}_n^{-1} \hat{\underline{A}} \right)^{-1} \hat{\underline{A}}^* \underline{R}_n^{-1} \underline{e} \quad (14)$$

of the transmitted data which can be used for the approximate reconstruction of the received signal based on the user signals

$$\hat{e}_d = \hat{A} \cdot \hat{d} \quad (15)$$

by means of the system matrix  $\hat{A}$  which includes the information about the estimated  $K * K_a$  channel impulse responses. The reconstruction  $\hat{e}_d$  is performed in a signal reconstructor 5. Units 3 and 4 (FEC decoder and FEC coder) can be arranged between units 2 and 5. Unit 3 performs FEC decoding at the receiver end for the case in which FEC coding is taken into consideration in the signal processing at the transmitter end. In unit 4, a corresponding FEC coding of the estimated data must then taken place to obtain correct signal reconstruction. Subtracting the reconstructed received signal  $\hat{e}_d$  according to (15) from the actual received signal  $e$  according to (12) makes it possible to determine an estimate

$$\hat{n} = e - \hat{e}_d \quad (16)$$

for the total interference factor  $\hat{n}$  according to (7). From the estimates of the interference signals at the individual antennas, which were thus obtained, both the spatial correlation characteristics of the interference, see (11), and the temporal correlation characteristics of the interference, see (5), and thus the covariance matrix  $\hat{R}_n$  of the interference according to (6) can be estimated in an estimating unit 6.

Taking into consideration the estimated covariance matrix, the signals received at the individual antennas can be subjected both to an improved channel estimate, if such a one is required, and to an improved data estimate, in a second step,  $R_n$  according to (13) being replaced by  $\hat{R}_n$ .

Sub  
of  
#

5) The procedure described up to here can be iteratively continued. Assuming that the interference scenario, and thus also the correlation characteristics of the interference, do not or not significantly change during the provided period of estimating the matrix and in the subsequent period which is provided for estimating new data, the estimated covariance matrix  $\hat{R}_n$  can be used for estimating new data in order to achieve an improvement in the data estimate already in the first step.

10

00000510 "002704

## References

- [1] A. Klein, P.W. Baier: Linear unbiased data estimation in mobile radio systems applying CDMA. IEEE Journal on Selected Areas in Communications, Vol. 11, 1993, p. 1058 to 1066
- [2] F. Adachi, K. Ohno, A. Higashi, T. Dohi, Y. Okumura: Coherent multicode DS-CDMA mobile radio access DS-CDMA mobile radio system, IEICE Transactions on Communications, Vol. E79-B, No. 9, 1996, p. 1316 to 1324
- [3] F. Adachi, M. Sawahashi: Wideband multi-rate DS-CDMA for next generation mobile communications systems. Proc. IEEE Wireless Communications Conference (WCC'97), Boulder, 1997, p. 57 to 62
- [4] R. Schmalenberger, J.J. Blanz: Multi antenna C/I balancing in the downlink of digital cellular mobile radio systems. Proc. IEEE Vehicular Technology Conference (VTC'97), Phoenix, 1997, p. 607 to 611

09085510 082701